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PROGRAMME BUDGET FOR THE BIENNIUM 1992-1993

PROPOSED PROGRAMME BUDGET FOR THE BIENNIUM 1994-1995

Financing of the International Civilian Mission to Haiti

Report of the Secretary-General

1. The present report contains (a) the financial performance of the International Civilian Mission to Haiti (MICIVIH) for the period from February to 31 December 1993 and (b) the cost estimate for the period from 1 January to 31 March 1994. The total estimated cost of MICIVIH for 1992-1993 would be $13,183,500, which would be $5,929,100 less than the commitment authority granted to the Secretary-General in the current biennium. An additional appropriation of $5,936,400 is proposed under section 4 of the proposed programme budget for the biennium 1994-1995, excluding provisions for staff assessment in the amount of $909,700 under section 28, Staff assessment, which would be offset by the same amount under income section 1, Income from staff assessment.

INTRODUCTION

2. The General Assembly, in its resolution 47/20 of 24 November 1992, inter alia, requested the Secretary-General to take the necessary measures in order to assist, in cooperation with the Organization of American States (OAS), in the solution of the Haitian crisis. Following the adoption of the resolution, the Secretary-General appointed a Special Envoy for Haiti and dispatched an advance team, a survey group and human rights experts to that country.

3. By the same resolution, the Secretary-General was also requested to submit a report to the Assembly at its resumed forty-seventh session on the implementation of the said resolution. The report 1/ inter alia, contained the findings and recommendations on the deployment of the mission throughout Haiti, the modalities of its operation and its needs in terms of personnel and

resources. The Assembly, in its resolution 47/20 B of 23 April 1993, approved the report and the Secretary-General's recommendations for United Nations participation, jointly with OAS, in MICIVIH.

4. Based on that report, 1/ a statement of programme budget implications 2/ of the draft resolution that was adopted as resolution 47/20 B, was submitted for consideration by the General Assembly through the Advisory Committee on Administrative and Budgetary Questions (ACABQ). The additional requirements at full cost for the mission in 1993 were estimated at $23,694,900, inclusive of the $1 million commitment authority granted to the Secretary-General by ACABQ for the purpose of financing the initial requirements of the mission. However, the view of the Secretary-General at that time was that, given the joint nature of the mission, these costs should be shared equally between the two organizations, and therefore, pending conclusion of discussions between the United Nations and OAS on the modalities of sharing the costs, he requested the General Assembly to appropriate $19,112,600 (exclusive of $2,925,000 for staff assessment), which was equivalent to the full costs of the international staff of the United Nations component, plus 35 per cent of the estimated cost of the local personnel, goods and services required to support the joint mission as a whole.

5. At the recommendation of ACABQ, the Secretary-General was authorized by the General Assembly to enter into further commitments of up to $18,112,600 over the previously authorized amount of $1 million. The Advisory Committee also recommended that such amounts as might be necessary could be appropriated and assessed on the basis of the proposals contained in the report to be submitted by the Secretary-General, which would indicate, inter alia, the results of the negotiation with OAS on cost-sharing, the performance of the mission to date and the status of the voluntary contributions to the Trust Fund for Haiti which was established by the Secretary-General to help to meet costs related to this effort.

I. FINANCIAL PERFORMANCE REPORT OF THE INTERNATIONAL CIVILIAN MISSION TO HAITI FOR THE PERIOD FROM FEBRUARY TO 31 DECEMBER 1993

A. Cost-sharing agreement between the United Nations and the Organization of American States

6. As stated in paragraph 6 of document A/C.5/47/93, it had been agreed between the United Nations and OAS that each organization would be responsible for the personnel costs of the international staff serving in its component. Consequently, the budget estimates submitted by the Secretary-General provided for the full personnel costs of the international staff of the United Nations component of the joint mission (1 under-secretary-general, 1 assistant secretary-general, 196 other international staff, including 133 human rights observers). OAS was to be responsible for the staff costs of another 133 international observers and other international personnel required for its component.

7. On 6 May 1993, a memorandum of understanding on the joint International Civilian Mission to Haiti was signed between the General Secretariat of OAS and the United Nations. The memorandum provided, inter alia, that:

(a) The joint mission would be composed of the Special Envoy (United Nations); the Executive Director (OAS); the Director for Human Rights (United Nations); other substantive officers apportioned between the two parties; human rights observers; regional coordinators to be provided in equal numbers by both parties; administrative staff as each party considered necessary; and local support staff, in respect of which the parties would agree upon the means of contracting and a mutually acceptable salary scale. It was also agreed that the regulations and rules of each party, including those pertaining to remuneration, should apply to its respective personnel and contractors (see annex I to the present report for the staffing table of MICIVIH);

(b) Concerning goods and services, it was agreed that the Executive Director would determine the joint mission's procurement needs, but the decision as to which party would procure needed goods and services would be taken on an ad hoc basis subject to available resources; each party would procure in accordance with its own regulations, rules and practices; goods purchased by each party would be owned by that party and would be made available for the use of the joint mission; and each party would be responsible for insuring and maintaining its own property and each party would carry sufficient insurance against third-party claims.

8. In July 1993, it was further agreed that, in order to facilitate administration and implementation on the ground, the United Nations would be fully responsible for hiring and administering the payment and benefits of locally recruited staff, both those engaged by the United Nations and those previously funded by OAS. In return, OAS would assume full responsibility for the costs of all office accommodations, utilities and commercial communications required by the joint mission.

9. Although the above modalities are now being observed, the United Nations and OAS have not reached final agreement on the practical applications of a comprehensive cost-sharing formula. Negotiations are ongoing and both parties are taking into account experience gained on the ground during the first months of joint operation. A thorough review of all the costs so far incurred by the joint mission has not yet been completed. It is envisaged that this will be done as soon as the situation has normalized to some extent in the mission area.

10. Preliminary estimates of costs of OAS for the joint mission to Haiti from February to 31 December 1993 are about $15 million.

B. Voluntary contributions

11. The Trust Fund for Haiti has received to date a contribution of $1 million from the Government of Japan. An amount of approximately $500,000 will be expended in 1993 against the Trust Fund for consultants and operating costs: consultants ($150,000), rental and maintenance of premises ($50,000), public information ($200,000) and office equipment ($100,000).

C. Financial performance for the period from February to 31 December 1993

12. Annex II to the present report sets out by object of expenditure the initial commitment authority (interim funding) for MICIVIH in 1993 ($19,112,600), the total estimated requirements from February to December 1993 ($13,183,500), and the estimated net balance of the commitment authority at the end of 1993 ($5,929,100). It will be noted that the net balance consists of savings of $7,260,500 relating to personnel costs and increased requirements of $1,331,400 relating to operating costs. It may be recalled, in this connection, that the interim funding provided for only 35 per cent of the estimated costs of local personnel, goods and services required to support the joint mission as a whole.

13. Supplementary information on the financial performance report for the period ending 31 December 1993 is contained in annex IV. The second performance report under section 2 of the programme budget for the biennium 1992-1993 will reflect an increase of $13,183,500 related to MICIVIH, this being $5,929,100 less than the amount which the Secretary-General was authorized to commit in the current biennium.

14. Since 15 October 1993, MICIVIH has suspended operations in Haiti, except for a small core group. International staff and national staff have been evacuated to Santo Domingo, Dominican Republic. This turn of events has affected the budgetary requirements to some extent. It is not yet known how long MICIVIH will remain in this interim status. However, for budgetary purposes, the assumption is that the normal work would resume in Haiti at the beginning of December.

II. COST ESTIMATES FOR THE INTERNATIONAL CIVILIAN MISSION TO HAITI FOR THE PERIOD FROM 1 JANUARY TO 31 MARCH 1994

15. The cost estimates for the three-month period from 1 January 1994 to 31 March 1994 are contained in annex IV, and the relevant supplementary information in annex V.

16. Accordingly, an additional appropriation of $5,936,400 will be required under section 4 of the proposed programme budget for the biennium 1994-1995 to cover the costs of MICIVIH up to 31 March 1994. Furthermore, an appropriation of $909,700 will be required under section 28 (Staff assessment), to be offset by the same amount under income section 1 (Income from staff assessment).

17. Should the mission continue beyond 31 March 1994, the Secretary-General would seek the concurrence of ACABQ to enter into related commitments under the terms of the General Assembly resolution to be adopted on unforeseen and extraordinary expenses for the biennium 1994-1995.

III. CONTINGENCY FUND

18. In annex I to General Assembly resolution 41/213 of 19 December 1986, which sets out the new budget process, paragraph 11 provides, inter alia, that:

"The revised estimates arising from the impact of extraordinary expenses, including those relating to the maintenance of peace and security, as well as fluctuations in rates of exchange and inflation, shall not be covered by the contingency fund and shall continue to be treated in accordance with established procedures and under the relevant provisions of the Financial Regulations and Rules."

19. The Secretary-General is of the view that, while not directly related to the maintenance of peace and security, the activities outlined above nevertheless are of an extraordinary nature and should thus be dealt with outside the procedures related to the contingency fund, as provided for in paragraph 11 of annex I to General Assembly resolution 41/213, as was the case for the biennium 1992-1993.

IV. SUMMARY

20. In summary, the estimated costs to the United Nations of MICIVIH for the period from February to December 1993 would be $13,183,500, against a commitment authority of $19,112,600. Therefore, based on these estimates, the second performance report under section 2 of the programme budget for 1992-1993 will reflect an increase of $13,183,500, which is $5,929,100 lower than the commitment authority for MICIVIH approved by the General Assembly for 1992-1993, although some adjustment may be made in the process of determining the parameters of cost-sharing with OAS.

21. An additional $5,936,400 will be required under section 4 of the proposed programme budget for the biennium 1994-1995 to cover the costs of MICIVIH up to 31 March 1994, excluding provisions for staff assessment in the amount of $909,700 under section 28 (Staff assessment), which would be offset by the same amount under income section 1 (Income from staff assessment).

Notes

1/ A/47/908.

2/ A/C.5/47/93.

Annex I

STAFFING TABLE

A. United Nations

Category and level	Authorized staffing table	Staff on board as of 30 September 1993
USG	1	1
D-2	1	1
D-1	3	1
P-5	10	4
P-4	54	22
P-3	50	27
P-2/1	45	46
Subtotal, Professional and above	164	102
General Service	13	11
Field Service	9	5
Security Service	2	2
Total, International staff	188	120
Local staff	127	43
Total	315	163

B. Organization of American States

Functional title	Planned staffing table	Actual staffing table as of 15 September 1993
Executive Director	1	1
Deputy Director (1 for media, 1 for legal)	2	1
Special Assistant to the Special Envoy of the Secretary-General	1	1
Special Assistant to the Director of Human Rights	1	-
Regional coordinators	7	-
Human rights observers	133	94
Subtotal	145	97
Local staff	127	92
Total	272	189

Annex II

FINANCIAL PERFORMANCE REPORT OF THE INTERNATIONAL CIVILIAN MISSION TO HAITI (MICIVIH) FOR THE PERIOD FROM FEBRUARY TO 31 DECEMBER 1993

Object of expenditure	Commitment authority (interim funding)	Total requirements February 1993-31 December 1993	Balance of commitment authority as of 31 December 1993
Personnel costs			
Salaries	8 089.6	4 589.6	3 500.0
Common staff costs	3 444.6	2 044.7	1 399.9
Mission subsistence allowance	5 360.3	3 164.1	2 196.2
Consultants	79.4	80.5	(1.1)
Travel on official business	174.7	174.7	-
Conference costs	-	113.4	(113.4)
Rental and maintenance of premises	155.3	230.9	(75.6)
Rental of aircraft	126.0	41.8	84.2
Rental and maintenance of vehicles	116.9	359.8	(242.9)
Communications	37.8	69.0	(31.2)
Public information/human rights education	70.0	203.2	(133.2)
Miscellaneous supplies and services	119.3	208.0	(88.7)
Freight and related charges	28.0	83.6	(55.6)
Acquisition of vehicles	862.4	1 019.9	(157.5)
Acquisition of furniture and equipment	234.5	581.2	(346.7)
Acquisition of communications equipment	213.8	219.1	(5.3)
Total	19 112.6	13 183.5	5 929.1

Annex III

SUPPLEMENTARY INFORMATION ON THE FINANCIAL PERFORMANCE REPORT FOR THE PERIOD ENDING 31 DECEMBER 1993

(In United States dollars)

A. Personnel costs

Temporary posts (salaries and common staff costs) (Decrease: $4,899,900)

1. The reduction under salaries ($3,500,000) and common staff costs ($1,399,900) is attributed to the delays in deployment of staff.

Consultants (Increase: $1,100)

2. The costs of consultants in human rights education and local expertise in administrative, legal and socio-political matters amounting to $150,000 were charged against the Trust Fund for Haiti.

Mission subsistence allowance (Decrease: $2,361,700)

3. The reduction of $2,361,700 was due to delays in staff deployment.

Travel of staff

4. The requirements relate to travel by the Special Envoy and his advisers and by other staff, including travel for briefing and consultations in New York.

B. Operational costs

Conference costs (Increase: $113,400)

5. No provisions were made for the peace talks which took place on Governors Island from 26 June to 3 July 1993. As the peace talks were considered vital to the success of the joint United Nations/OAS International Civilian Mission to Haiti, the costs were borne by the mission.

Rental and maintenance of premises (Increase: $75,600)

6. The delay in obtaining a lease for office space resulted in an unplanned extended stay in more expensive leased accommodation in a hotel. It was also found necessary to make alterations in the leased office space and enter into contracts for cleaning and security of premises. An amount of $50,000 has been charged to the Trust Fund for Haiti. This is one of the two object-of-expenditure groups agreed with OAS as subject to full reimbursement to the United Nations (see par.. 8, of the main document).

Rental of aircraft (Decrease: $84,200)

7. The mission was able to meet most of its initial transportation requirements through the extensive use of ground transportation in cooperation with OAS. The expenditure under this item covers only emergency needs, e.g., medical evacuation and visits to remote areas.

Rental and maintenance of vehicles (Increase: $242,900)

8. The increase results from the late arrival of 20 vehicles from the United Nations Observer Mission in El Salvador (ONUSAL). This necessitated the rental of vehicles, including two buses to transport staff to and from work, for a longer period than was anticipated.

Communications (Increase: $31,200)

9. The expenditures relate to commercial communications and pouch services which were relatively extensive (i.e., cables, telex/faxes, long-distance telephone calls). This is one of the two object-of-expenditure groups so far agreed with OAS as subject to full reimbursement to the United Nations (see para. 8, in the main document).

Public information/human rights education (Increase: $133,200)

10. In addition to the increase in requirements of $133,200, an amount of $200,000 has also been charged to the Trust Fund for Haiti. The activities financed under this item include the production of posters and fliers and other information material, film, video and radio programmes to educate and keep the public, civic organizations and the mass media, both national and international, informed of the Organization's activities and findings in the mission area.

Miscellaneous supplies and services (Increase: $88,700)

11. Expenses under this item relate to medical services and supplies, other contractual services (e.g., legal assistance, casual labour, etc.), stationery and office supplies, bank charges and other miscellaneous services and supplies.

Freight and related costs (Increase: $55,600)

12. Expenses covered the costs relating to shipment of miscellaneous equipment, supplies and documentation, including clearing charges. These costs were underestimated.

Acquisition of vehicles (Increase: $157,500)

13. A total of 97 Toyota Land Cruisers have been transferred from the United Nations Transitional Authority in Cambodia (UNTAC) (70), ONUSAL (20) and Eritrea (7); 10 Caravans have been purchased. The total cost of the acquisition of all vehicles and workshop equipment was $1,019,900, of which about $378,000 is freight costs.

Acquisition of furniture and equipment (Increase: $346,700)

14. These expenditures relate to purchase of office furniture and equipment ($316,300), data-processing equipment ($76,700) and other equipment ($99,200). Some 20 desktop computers and 20 HP desk-jet printers have also been transferred from Somalia. An amount of $100,000 has also been charged to the Trust Fund for Haiti.

Acquisition of communications equipment (Increase: $5,300)

15. The costs incurred relate to the purchase of communications equipment, generators and test and workshop equipment.

Annex IV

COST ESTIMATES FOR THE PERIOD FROM 1 JANUARY 1994 TO 31 MARCH 1994

	$
Personnel costs	
Salaries	2 679 900
Common staff costs	1 143 300
Mission subsistence allowance	1 434 800
Consultants	55 500
Travel on official business	48 100
Rental and maintenance of premises	69 400
Rental of aircraft	20 000
Rental and maintenance of vehicles	189 700
Communications	5 000
Miscellaneous supplies and services	68 100
Freight and related charges	15 000
Public information/human rights education	118 600
Acquisition of vehicles	-
Acquisition of furniture and equipment	89 000
Acquisition of communications equipment	-
Total	5 936 400

Annex V

SUPPLEMENTARY INFORMATION ON COST ESTIMATES FOR THE INTERNATIONAL CIVILIAN MISSION TO HAITI FOR THE PERIOD FROM 1 JANUARY TO 31 MARCH 1994

	Estimated cost
	$
A. Personnel costs	
Temporary posts (salaries and common staff costs)	3 823 200
Provision is made for salaries ($2,679,900) and common staff costs ($1,143,300) in respect of one under-secretary-general post for the Special Envoy, 187 international posts for a total of 188 posts and 254 local level posts. All posts are budgeted for three months, with a downward adjustment of 20 per cent to reflect expected delays in deployment. The provision includes overtime costs for drivers, who would be required to work beyond normal working hours. International staff are not allowed to drive vehicles in the mission area.	
Mission subsistence allowance	1 434 800
The mission subsistence allowance rate is $123 for the first 30 days and $86 after 30 days. For costing purposes, an average of $105 per day is used for staff below the D-1 level and a downward adjustment of 20 per cent is applied to reflect anticipated delays in recruitment.	
Consultants	55 500
Provision is made for about 150 consultancy days, and includes fees (at an average daily rate of $275), travel and daily subsistence allowance. Consultants will continue to be needed in human rights education and legal and socio-political matters.	
Travel of staff	48 100
These requirements relate to travel by the Special Envoy and his advisers between New York, Washington, Caracas, Ottawa, Paris and Port-au-Prince, and other official travel of staff between New York and Port-au-Prince.	

	Estimated cost
	$
B. Operational costs	
Rental and maintenance of premises	69 400
Provision is made for rental and maintenance of the administrative headquarters in Port-au-Prince, rental of space for a vehicle workshop, storage space, structural renovations, maintenance supplies and services, including utilities, cleaning and security. OAS will eventually reimburse the United Nations for expenses incurred.	
Rental of aircraft	20 000
The provision relates to possible emergency requirements for medical evacuation, inclusive of fuel costs and insurance.	
Rental and maintenance of vehicles	189 700
The estimated amount will provide for the maintenance of vehicles, including spare parts, petrol, oil and lubricants, vehicle insurance, third party local insurance and workshop equipment.	
Communications	5 000
A minimum amount of $5,000 is provided, since OAS will bear the cost of commercial communications.	
Public information/human rights education	118 600
The amount of $118,600 would meet the costs of production, supplies, duplication, dissemination and subscription costs relating to public information and human rights education activities.	
Miscellaneous supplies and services	68 100
The provisions include the cost of training for new observers, refresher courses for others; stationery and office supplies; medical supplies and services; clothing and other accessories to identify civilian observers; uniforms for about 150 drivers; and miscellaneous supplies and services, such as sanitation materials, electrical supplies and subscriptions. An amount of $2,300 is also included for official functions.	

	Estimated cost
	$
Freight and related costs	15 000
The proposed amount would meet the related costs of shipment of equipment, supplies, documentation and other materials, including clearing and bank charges. No provision is made for the repatriation of vehicles and equipment upon closure of the mission.	
Acquisition of vehicles	-
No provision is made for this purpose during this period.	
Acquisition of furniture and equipment	89 000
The provision relates to requirements for office furniture and equipment, such as tables and chairs, photocopiers, fax machines, typewriters, calculators and other office equipment; for medical equipment/kits/chests for the headquarters and regional offices and some 50 vehicles; solar energy panels for the sub-offices to compensate for unreliable local power supply; and other miscellaneous equipment and supplies, including spare parts.	
Total	5 936 400

Annex VI

ACTUAL DEPLOYMENT OF CIVILIANS
(April-September 1993)

	Authorized strength	Months												Total person/ month
		1	2	3	4	5	6	7	8	9	10	11	12	
USG	1	-	-	1	1	1	1	1	1	1				7
ASG	-	-	-	-	-	-	-	-	-	-	-	-	-	0
D-2	1	-	-	-	1	1	1	1	1	1	-	-	-	6
D-1	3	-	-	-	-	1	1	1	1	1	-	-	-	5
P-5	10	-	-	2	2	2	3	4	4	4	-	-	-	21
P-4	54	-	-	3	5	14	17	22	22	22	-	-	-	105
P-3	50	-	-	3	3	17	17	27	27	27	-	-	-	121
P-2/1	45	-	-	1	3	22	28	46	46	46	-	-	-	192
Subtotal, P and above	164	0	0	10	15	58	68	102	102	102	0	0	0	457
Other categories														0
General Service/Principal														0
General Service	13	-	-	2	6	6	10	11	11	11	-	-	-	57
Field Service	9	-	-	1	1	1	1	5	5	5	-	-	-	19
Security Service	2	-	-	1	1	2	2	2	2	2	-	-	-	12
Subtotal, other categories	24	0	0	4	8	9	13	18	18	18	0	0	0	88
TOTAL, international staff	188	0	0	14	23	67	81	120	120	120	0	0	0	545
Local level	127	-	-	2	2	11	20	43	43	43	-	-	-	164
Total civilian personnel	315	0	0	16	25	78	101	163	163	163	0	0	0	709